

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : Not known (division of 10/299,131) Confirmation No. Not known  
Applicant : Dozier, II et al.  
Filed : September 29, 2003  
TC/A.U. : Not known  
Examiner : Not known

Docket No. : P42C3D1-US  
Customer No. : 27521

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Listed on an attached Form PTO/SB/08A is information known to applicant(s).

**A COPY OF EACH LISTED DOCUMENT IS NOT BEING PROVIDED  
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THE PARENT APPLICATION, US SERIAL NO. 10/299,131.** See 37 CRF  
§ 1.98(d). The Examiner is requested to initial and return the attached Form PTO/SB/08A in  
accordance with MPEP §609. This statement is not intended to represent that a search has been  
made or that the information cited in the statement is, or is considered to be, material to  
patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date (other than the filing date of a continued prosecution application under §1.53(d))  
-- OR --  
☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --  
☒ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --  
☐ (4) It is being filed before the mail date of the first Office Action after the filing of a request for continued examination under §1.114.

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing date of a first Office action on the merits, or (4) the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, then:

☐ a statement as specified in §1.97(e) is provided below; **or**

☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

A. a statement as specified in §1.97(e) is provided below; **and**

B. a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

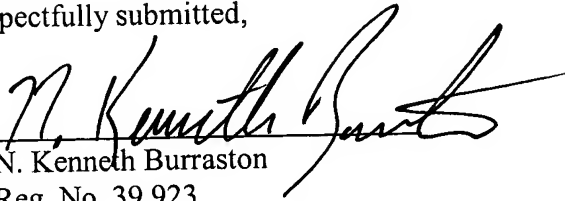
*Fee:*

☐ A check in the amount of \$\_\_\_\_\_ for the above-identified fee(s) is enclosed.

Respectfully submitted,

Date: September 29, 2003

By

  
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Modified Form PTO/SB/08A

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<i>Complete If Known</i>	
				Application Number	Not known (division of 10/299,131)
				Filing Date	September 29, 2003
				First Named Inventor	Dozier, II
				Group Art Unit	Not known
				Examiner Name	Not known
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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant	Publication Date	Relevant Portions
		Number	Kind Code <sup>2</sup>			

	1.	3290636		Overtveld	12/6/1966	
	2.	3379937		Shepherd	4/24/1963	
	3.	3676832		Judge et al.	7/11/72	
	4.	3982159		Dennis et al.	9/21/1976	
	5.	4074342		Honn et al.	2/14/1978	
	6.	4417777		Bamford	11/29/1983	
	7.	4480888		Hopkins et al.	11/6/1984	
	8.	4667219		Lee et al.	5/19/1987	
	9.	4761140		Geib	8/2/1988	
	10.	4893172		Matsumoto et al.	1/9/1990	
	11.	4906194		Grabbe	3/6/1990	
	12.	4950980		Pfaff	8/21/90	
	13.	5045975		Cray et al.	9/3/1991	
	14.	5067007		Kanji et al.	11/19/1991	
	15.	5106309		Matsuoka et al.	4/21/1992	
	16.	5123850		Beaman	6/30/1992	
	17.	5124646		Shiraishi	1/1992	
	18.	5131535		O'Conner et al.	7/21/1992	
	19.	5160270		Reymond	11/3/1992	
	20.	5189507		Carlomagno et al.	2/23/1993	
	21.	5230632		Baumberger et al.	7/27/1993	
	22.	5302891		Wood et al.	4/12/1994	
	23.	5366380		Reymond	11/22/1994	
	24.	5371654		Beaman et al.	12/6/1994	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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	25.	5437556		Bargain et al.	8/1/1995	
	26.	5455390		DiStefano et al.	10/3/1995	
	27.	5500605		Chang	3/19/1996	
	28.	5541525		Wood et al.	7/30/1996	
	29.	5561594		Wakefield	10/1/1996	
	30.	5573435		Grabbe et al.	11/12/1996	
	31.	5574384		Oi	11/12/1996	
	32.	5635832		Ito et al.	6/3/1997	
	33.	5669774		Grabbe	9/23/1997	
	34.	5686842		Lee	11/11/1997	
	35.	5714803		Queyssac	2/3/1998	
	36.	5772451		Dozier, II et al.	6/30/1998	
	37.	5807104		Ikeya et al.	9/15/1998	
	38.	5897326		Eldridge et al.	4/27/99	
	39.	5917707		Khandros et al.	6/1999	
	40.	5932891		Higashi et al.	8/3/1999	
	41.	5994152		Khandros et al.	11/30/1999	
	42.	5998864		Khandros et al.	12/1999	
	43.	6029344		Khandros et al.	2/29/2000	
	44.	6043666		Kazama	3/2000	
	45.	6046597		Barabi	4/4/2000	
	46.	6051982		Alcoe et al.	4/2000	
	47.	6064213		Khandros et al.	5/16/2000	
	48.	6078500		Beaman et al.	6/20/2000	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				
	49.	EP	0 422 584		Bright et al.	4/17/91		
	50.	JP	3142847		Ishida	6/18/1991		x
	51.	JP	61170054		Kameda	7/31/1986		x
	52.	WO	92 20203		Reymond	11/12/92		
	53.	WO	94 23475		Reymond	10/13/94		
	54.	WO	96 17378		Khandros et al.	6/6/96		
	55.	WO	98/01906		Khandros et al.	1/15/1998		

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